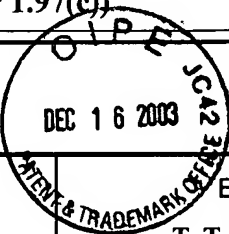


TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
04630/012001

In Re Application Of: **David Ian THOMPSON**



Serial No.
10/028,883

Filing Date
12/20/2001

Examiner
T. T. NGUYEN

Group Art Unit
3644

Title: **ASSEMBLING BEARING HOUSINGS FOR ROTARY SHAFTS**

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Address to:
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P.O. Box 1450
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37 CFR 1.97(b)

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☒ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☒ the fee set forth in 37 CFR 1.17(p).

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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

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(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

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- ☐ Charge the amount of
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Dated: 12/16/03

Jonathan P. Osha, Reg. No. 33,986

ROSENTHAL & OSHA L.L.P.

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Telephone: 713/228-8600

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Applicant(s): David Ian THOMPSON

Docket No.

04630/012001

Serial No.

10/028,883

Filing Date

12/20/2001

Examiner

T. T. NGUYEN

Group Art Unit

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I hereby certify that the following correspondence:

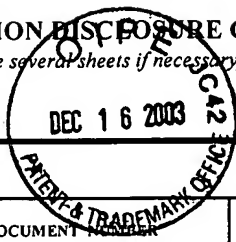
Information Disclosure Statement*(Identify type of correspondence)*

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12/16/2003*(Date)*Ava R. Brown*(Typed or Printed Name of Person Mailing Correspondence)**Ava R. Brown**(Signature of Person Mailing Correspondence)*EV403793662US*("Express Mail" Mailing Label Number)***Note: Each paper must have its own certificate of mailing.**

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)



Docket Number (Optional)

04630/012001

Application Number

10/028,883

Applicant(s)

David Ian THOMPSON

Filing Date

12/20/2001

Group Art Unit

3644

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	A1	6,017,151	01/25/2000	Heinemann	384	294	06/02/1998

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FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
	A2	DE 198 19 081 A1	11/04/1999	Germany	F16C	9/02		✓
	A3	WO 97/42424	11/13/1997	PCT	F16C	33/12		

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.